



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	Art Unit: 2132
Timothy Farley et al.)	
)	Examiner: Grigory Gurshman
Serial No.: 09/844,447)	
)	Docket No.: 05456.105006
Filed: April 27, 2001)	
)	Conf. No.: 9081
For: Method and System for Managing)	
Computer Security Information)	

**INFORMATION DISCLOSURE STATEMENT ("IDS")
WITH AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT THE APPROPRIATE
FEE TO CONSIDER THE IDS**

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicants hereby submit an Information Disclosure Statement ("IDS") for consideration by the Examiner. The patents, publications or other information submitted for consideration by the Patent Office are listed on Form PTO-1449, attached hereto. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

Except as may be indicated below, all of the patents, publications or other information are in the English language, a copy of which is attached hereto and therefore, a concise explanation of each reference is not required. The following additional information is provided for the Examiner's consideration.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 4, 2005.

To assist the Examiner in reviewing this IDS, the Applicants have conducted a key word search of each U.S. patent and U.S. patent application publication listed on the attached Form PTO-1449. The Applicants used the following search terms in his query of the U.S. patents and U.S. patent application publications: (1) "computer\$"; (2) "intrusion\$", (3) "detect\$", (4) "(ranking\$ or rank or ranked\$ or level\$)", and (5) "(fusion\$ or fuse or group\$ or filter\$)." The Applicants used Boolean logic when performing this search in which each term was linked by the logical connector "AND." A search of these U.S. patents and U.S. patent application publications using the aforementioned terms and Boolean search logic yielded the following results (34 patents and 8 publications):

U.S. Patents
U.S. Patent No. 5,278,901 to Shieh et al.
U.S. Patent No. 5,414,833 to Hershey et al.
U.S. Patent No. 5,440,723 to Arnold et al.
U.S. Patent No. 5,623,601 to Vu
U.S. Patent No. 5,812,763 to Teng
U.S. Patent No. 5,931,946 to Terada et al.
U.S. Patent No. 6,070,244 to Orchier et al.
U.S. Patent No. 6,088,804 to Hill et al.
U.S. Patent No. 6,185,689 to Todd, Sr. et al.
U.S. Patent No. 6,275,942 to Bernhard et al.
U.S. Patent No. 6,282,546 to Gleichauf et al.
U.S. Patent No. 6,298,445 to Shostack et al.
U.S. Patent No. 6,301,668 to Gleichauf et al.
U.S. Patent No. 6,321,338 to Porras et al.
U.S. Patent No. 6,324,647 to Bowman-Amuah
U.S. Patent No. 6,347,374 to Drake et al.
U.S. Patent No. 6,353,385 to Molini et al.
U.S. Patent No. 6,405,364 to Bowman-Amuah
U.S. Patent No. 6,415,321 to Gleichauf et al.
U.S. Patent No. 6,453,345 to Trcka et al.
U.S. Patent No. 6,460,141 to Olden
U.S. Patent No. 6,484,203 to Porras et al.
U.S. Patent No. 6,499,107 to Gleichauf et al.
U.S. Patent No. 6,546,493 to Magdych et al.
U.S. Patent No. 6,578,147 to Shanklin et al.
U.S. Patent No. 6,606,744 to Mikurak
U.S. Patent No. 6,647,400 to Moran
U.S. Patent No. 6,681,331 to Munson et al.

U.S. Patents
U.S. Patent No. 6,704,874 to Porras et al.
U.S. Patent No. 6,708,212 to Porras et al.
U.S. Patent No. 6,711,615 to Porras et al.
U.S. Patent No. 6,725,377 to Kouznetsov
U.S. Patent No. 6,816,973 to Gleichauf et al.
U.S. Patent No. 6,839,850 to Campbell et al.

U.S. Patent Application Publications
U.S. Patent Application Publication No. 2001/0034847 to Gaul, Jr.
U.S. Patent Application Publication No. 2002/0032717 to Malan et al.
U.S. Patent Application Publication No. 2002/0032793 to Malan et al.
U.S. Patent Application Publication No. 2002/0035698 to Malan et al.
U.S. Patent Application Publication No. 2002/0138753 to Munson
U.S. Patent Application Publication No. 2003/0088791 to Porras et al.
U.S. Patent Application Publication No. 2003/0212903 to Porras et al.
U.S. Patent Application Publication No. 2004/0010718 to Porras et al.

The Applicants submit that these search terms cannot be interpreted as providing emphasis on these terms when the claims are interpreted and construed by both the Examiner and the courts. The Applicants merely selected these terms to identify the prior art that may be more relevant than prior art that do not contain these search terms.

To further assist the Examiner, the Applicants have organized each of the U.S. Patents and U.S. Patent Application Publications according to classification. For the non-U.S. patent prior art, the Applicants have annotated Form PTO-1449 with the location of each document in a respective box.

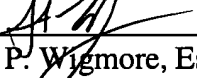
The Applicants exerted this additional time and effort to help the Examiner so that if a patent is ever issued on this patent application, third parties will understand that the Applicants submitted the attached prior art on a good faith basis. The Applicants recognize that the attached prior art is voluminous but it is not the Applicants' intent to "bury" any patents that maybe more pertinent than other ones. The Applicants do not want to dismiss any reference that he is aware of as cumulative in order to prevent third parties from arguing that the Applicants intentionally did not submit any prior art in an effort to deceive the Examiner and the Patent Office.

This IDS is being filed under 37 C.F.R. § 1.97(b)(4), before the mailing of a first Office Action after the filing of a request for continued examination 37 C.F.R. § 1.114. No certification is required. No fee is believed to be due. However, should the Commissioner determine otherwise, he is authorized to charge such fees to Deposit Account No. 11-0980.

If the Examiner has any questions concerning this IDS, he is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the Patent Office is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 11-0980.

Respectfully submitted,

KING & SPALDING LLP

By 
Steven P. Wigmore, Esq.
Reg. No. 40,447
Tel. (404) 572-4600

Enclosures:

1. Form PTO-1449
2. Foreign Patents
3. Publications
4. Postcard

FORM PTO-1449, Adapted

AUG 08 2005

LIST OF INFORMATION DISCLOSED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105006	09/844,447	April 27, 2001

APPLICANT	GROUP
Timothy Farley et al.	2132

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,696,486	12/9/97	Poliquin et al.	340		
	AB	6,353,385	3/5/02	Molini et al.	340		
	AC	5,353,393	10/4/94	Bennett et al.	345		
	AD	6,429,952	8/6/02	Olbricht	358		
	AE	5,748,098	5/5/98	Grace	370		
	AF	6,396,845	5/28/02	Sugita	370		
	AG	6,711,127	3/23/04	Gorman et al.	370		
	AH	5,734,697	3/31/98	Jabbarnezhad	379		
	AI	6,104,783	8/15/00	DeFino	379		
	AJ	6,226,372	5/1/01	Beebe et al.	379		
	AK	6,445,822	9/3/02	Crill et al.	382		
	AL	6,563,959	5/13/03	Troyanker	382		
	AM	6,618,501	9/9/03	Osawa et al.	382		

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
					YES NO.

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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	AN	6,628,824	9/30/03	Belanger	382		
	AO	6,647,139	11/11/03	Kunii et al.	382		
	AP	6,661,904	12/9/03	Sasich et al.	382		
	AQ	6,668,082	12/23/03	Davison et al.	382		
	AR	6,668,084	12/23/03	Minami	382		
	AS	6,792,144	9/14/04	Yan et al.	382		
	AT	5,345,595	9/6/94	Johnson et al.	455		
	AU	6,278,886	8/21/01	Hwang	455		
	AV	5,210,704	5/11/93	Husseiny	702		
	AW	5,842,002	11/24/98	Schnurer et al.	703		
	AX	5,854,916	12/29/98	Nachenberg	703		
	AY	5,999,723	12/7/99	Nachenberg	703		
	AZ	6,067,410	5/23/00	Nachenberg	703		
	BA	5,864,803	1/26/99	Nussbaum	704		

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BB	6,014,645	1/11/00	Cunningham	705		
	BC	6,584,454	6/24/03	Hummel, Jr. et al.	705		
	BD	5,675,711	10/7/97	Kephart et al.	706		
	BE	5,907,834	5/25/99	Kephart et al.	706		
	BF	5,649,185	7/15/97	Antognini et al.	707		
	BG	5,857,191	1/5/99	Blackwell, Jr. et al.	707		
	BH	5,878,420	3/2/99	de la Salle	707		
	BI	5,899,999	5/4/99	De Bonet	707		
	BJ	6,144,961	11/7/00	de la Salle	707		
	BK	6,161,109	12/12/00	Matamoros et al.	707		
	BL	6,282,546	8/28/01	Gleichauf et al.	707		
	BM	6,463,426	10/8/02	Lipson et al.	707		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BN	6,647,400	11/11/03	Moran	707		
	BO	4,223,380	9/16/80	Antonaccio et al.	709		
	BP	5,309,562	5/3/94	Li	709		
	BQ	5,347,450	9/13/94	Nugent	709		
	BR	5,371,852	12/6/94	Attanasio et al.	709		
	BS	5,515,508	5/7/96	Pettus et al.	709		
	BT	5,539,659	7/23/96	McKee et al.	709		
	BU	5,630,061	5/13/97	Richter et al.	709		
	BV	5,706,210	1/6/98	Kumano et al.	709		
	BW	5,745,692	4/28/98	Lohmann, II et al.	709		
	BX	5,790,799	8/4/98	Mogul	709		
	BY	5,835,726	11/10/98	Shwed et al.	709		
	BZ	5,881,236	3/9/99	Dickey	709		
	CA	5,884,033	3/16/99	Duvall et al.	709		
	CB	5,922,051	7/13/99	Sidey	709		

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	CC	5,964,839	10/12/99	Johnson et al.	709		
	CD	5,974,237	10/26/99	Shurmer et al.	709		
	CE	5,974,457	10/26/99	Waclawsky et al.	709		
	CF	5,983,270	11/9/99	Abraham et al.	709		
	CG	6,009,467	12/28/99	Ratcliff et al.	709		
	CH	6,026,442	2/15/00	Lewis et al.	709		
	CI	6,035,323	3/7/00	Narayan et al.	709		
	CJ	6,041,347	3/21/00	Harsham et al.	709		
	CK	6,052,709	4/18/00	Paul	709		
	CL	6,070,190	5/30/00	Reps et al.	709		
	CM	6,073,172	6/6/00	Frailong et al.	709		
	CN	6,085,224	7/4/00	Wagner	709		
	CO	6,119,165	9/12/00	Li et al.	709		
	CP	6,195,687	2/27/01	Greaves et al.	709		

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	CQ	6,377,994	4/23/02	Ault et al.	709		
	CR	6,397,245	5/28/02	Johnson, II et al.	709		
	CS	6,415,321	7/2/02	Gleichauf et al.	709		
	CT	6,434,615	8/13/02	Dinh et al.	709		
	CU	6,438,600	8/20/02	Greenfield et al.	709		
	CV	6,453,345	9/17/02	Trcka et al.	709		
	CW	6,453,346	9/17/02	Garg et al.	709		
	CX	6,484,203	11/19/02	Porras et al.	709		
	CY	6,496,858	12/17/02	Frailong et al.	709		
	CZ	6,519,647	2/11/03	Howard et al.	709		
	DA	6,708,212	3/16/04	Porras et al.	709		
	DB	6,711,615	3/23/04	Porras et al.	709		
	DC	6,718,383	4/6/04	Hebert	709		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DD	2002/0032793	3/14/02	Malan et al.	709		
	DE	2003/0037136	2/20/03	Labovitz et al.	709		
	DF	4,400,769	8/23/83	Kaneda et al.	710		
	DG	5,511,184	4/23/96	Lin	710		
	DH	5,991,856	11/23/99	Spilo et al.	711		
	DI	6,324,627	11/27/01	Kricheff et al.	711		
	DJ	4,975,950	12/4/90	Lentz	713		
	DK	5,032,979	7/16/91	Hecht et al.	713		
	DL	5,121,345	6/9/92	Lentz	713		
	DM	5,204,966	4/20/93	Wittenberg et al.	713		
	DN	5,274,824	12/28/93	Howarth	713		
	DO	5,278,901	1/11/94	Shieh et al.	713		
	DP	5,311,593	5/10/94	Carmi	713		
	DQ	5,359,659	10/25/94	Rosenthal	713		
	DR	5,414,833	5/9/95	Hershey et al.	713		
	DS	5,475,839	12/12/95	Watson et al.	713		

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	DT	5,557,742	9/17/96	Smaha et al.	713		
	DU	5,586,260	12/17/96	Hu	713		
	DV	5,606,668	2/25/97	Shwed	713		
	DW	5,623,600	4/22/97	Ji et al.	713		
	DX	5,623,601	4/22/97	Vu	713		
	DY	5,696,822	12/9/97	Nachenberg	713		
	DZ	5,761,504	6/2/98	Corrigan et al.	713		
	EA	5,764,887	6/9/98	Kells et al.	713		
	EB	5,764,890	6/9/98	Glasser et al.	713		
	EC	5,787,177	7/28/98	Leppek	713		
	ED	5,796,942	8/18/98	Esbensen	713		
	EE	5,798,706	8/25/98	Kraemer et al.	713		
	EF	5,812,763	9/22/98	Teng	713		
	EG	5,815,574	9/29/98	Fortinsky	713		
	EH	5,822,517	10/13/98	Dotan	713		

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	EI	5,826,013	10/20/98	Nachenberg	713		
	EJ	5,828,833	10/27/98	Belville et al.	713		
	EK	5,832,208	11/3/98	Chen et al.	713		
	EL	5,832,211	11/3/98	Blakley, III et al.	713		
	EM	5,838,903	11/17/98	Blakely, III et al.	713		
	EN	5,845,067	12/1/98	Porter et al.	713		
	EO	5,848,233	12/8/98	Radia et al.	713		
	EP	5,864,665	1/26/99	Tran	713		
	EQ	5,875,296	2/23/99	Shi et al.	713		
	ER	5,892,903	4/6/99	Klaus	713		
	ES	5,919,257	7/6/99	Trostle	713		
	ET	5,919,258	7/6/99	Kayashima et al.	713		
	EU	5,925,126	7/20/99	Hsieh	713		
	EV	5,931,946	8/3/99	Terada et al.	713		
	EW	5,940,591	8/17/99	Boyle et al.	713		

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	EX	5,961,644	10/5/99	Kurtzberg et al.	713		
	EY	5,978,917	11/2/99	Chi	713		
	EZ	5,983,348	11/9/99	Ji	713		
	FA	5,983,350	11/9/99	Minear et al.	713		
	FB	5,987,606	11/16/99	Cirasole et al.	713		
	FC	5,987,610	11/16/99	Franczek et al.	713		
	FD	5,987,611	11/16/99	Freund	713		
	FE	5,991,881	11/23/99	Conklin et al.	713		
	FF	5,999,711	12/7/99	Misra et al.	713		
	FG	6,003,132	12/14/99	Mann	713		
	FH	6,061,795	5/9/00	Dircks et al.	713		
	FI	6,070,244	5/30/00	Orchier et al.	713		
	FJ	6,081,894	6/27/00	Mann	713		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	FK	6,088,803	7/11/00	Tso et al.	713		
	FL	6,088,804	7/11/00	Hill et al.	713		
	FM	6,092,194	7/18/00	Touboul	713		
	FN	6,098,173	8/1/00	Elgressy et al.	713		
	FO	6,119,234	9/12/00	Aziz et al.	713		
	FP	6,122,738	9/19/00	Millard	713		
	FQ	6,154,844	11/28/00	Touboul et al.	713		
	FR	6,167,520	12/26/00	Touboul	713		
	FS	6,185,689	2/6/01	Todd, Sr. et al.	713		
	FT	6,205,552	3/20/01	Fudge	713		
	FU	6,266,773	7/24/01	Kisor et al.	713		
	FV	6,266,774	7/24/01	Sampath et al.	713		
	FW	6,272,641	8/7/01	Ji	713		
	FX	6,275,938	8/14/01	Bond et al.	713		
	FY	6,275,942	8/14/01	Bernhard et al.	713		
	FZ	6,279,113	8/21/01	Vaidya	713		

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	GA	6,298,445	10/2/01	Shostack et al.	713		
	GB	6,301,668	10/9/01	Gleichauf et al.	713		
	GC	6,314,520	11/6/01	Schell et al.	713		
	GD	6,321,338	11/20/01	Porras et al.	713		
	GE	6,324,647	11/27/01	Bowman-Amuah	713		
	GF	6,338,141	1/8/02	Wells	713		
	GG	6,347,374	2/12/02	Drake et al.	713		
	GH	6,357,008	3/12/02	Nachenberg	713		
	GI	6,405,318	6/11/02	Rowland	713		
	GJ	6,408,391	6/18/02	Huff et al.	713		
	GK	6,460,141	10/1/02	Olden	713		
	GL	6,470,449	10/22/02	Blandford	713		
	GM	6,477,648	11/5/02	Schell et al.	713		
	GN	6,477,651	11/5/02	Teal	713		

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	GO	6,487,666	11/26/02	Shanklin et al.	713		
	GP	6,499,107	12/24/02	Gleichauf et al.	713		
	GQ	6,510,523	1/21/03	Perlman et al.	713		
	GR	6,519,703	2/11/03	Joyce	713		
	GS	6,530,024	3/4/03	Proctor	713		
	GT	6,546,493	4/8/03	Magdych et al.	713		
	GU	6,574,737	6/3/03	Kingsford et al.	713		
	GV	6,578,147	6/10/03	Shanklin et al.	713		
	GW	6,681,331	1/20/04	Munson et al.	713		
	GX	6,691,232	2/10/04	Wood et al.	713		
	GY	6,704,874	3/9/04	Porras et al.	713		
	GZ	6,725,377	4/20/04	Kouznetsov	713		
	HA	6,725,378	4/20/04	Schuba et al.	713		
	HB	6,775,780	8/10/04	Muttik	713		

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	HC	6,792,546	9/14/04	Shanklin et al.	713		
	HD	6,816,973	11/9/04	Gleichauf et al.	713		
	HE	6,839,850	1/4/05	Campbell et al.	713		
	HF	2001/0034847	10/25/01	Gaul, Jr.	713		
	HG	2002/0035698	3/21/02	Malan et al.	713		
	HH	2002/0083331	6/27/02	Krumel	713		
	HI	2002/0083334	6/27/02	Rogers et al.	713		
	HJ	2002/0138753	9/26/02	Munson	713		
	HK	2002/0144156	10/3/02	Copeland, III	713		
	HL	2003/0088791	5/8/03	Porras et al.	713		
	HM	2003/0212903	11/13/03	Porras et al.	713		
	HN	2004/0010718	1/15/04	Porras et al.	713		
	HO	4,672,609	6/9/87	Humphrey et al.	714		
	HP	4,773,028	9/20/88	Tallman	714		
	HQ	5,398,196	3/14/95	Chambers	714		

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	HR	5,440,723	8/8/95	Arnold et al.	714		
	HS	5,452,442	9/19/95	Kephart	714		
	HT	5,649,095	7/15/97	Cozza	714		
	HU	5,765,030	6/9/98	Nachenberg et al.	714		
	HV	5,964,889	10/12/99	Nachenberg	714		
	HW	6,006,016	12/21/99	Faigon et al.	714		
	HX	6,016,553	1/18/00	Schneider et al.	714		
	HY	6,021,510	2/1/00	Nachenberg	714		
	HZ	6,029,256	2/22/00	Kouznetsov	714		
	IA	6,035,423	3/7/00	Hodges et al.	714		
	IB	6,094,731	7/25/00	Waldin et al.	714		
	IC	6,108,799	8/22/00	Boulay et al.	714		
	ID	6,173,413	1/9/01	Slaughter et al.	714		

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	IE	6,199,181	3/6/01	Rechef et al.	714		
	IF	6,230,288	5/8/01	Kuo et al.	714		
	IG	6,314,525	11/6/01	Mahalingham et al.	714		
	IH	6,324,656	11/27/01	Gleichauf et al.	714		
	II	6,601,190	7/29/03	Meyer et al.	714		
	IJ	2002/0032880	3/14/02	Poletto et al.	714		
	IK	5,454,074	9/26/95	Hartel et al.	715		
	IL	5,522,026	5/28/96	Records et al.	715		
	IM	6,271,840	8/7/01	Finseth et al.	715		
	IN	6,517,587	2/11/03	Satyavolu et al.	715		
	IO	6,535,227	3/18/03	Fox et al.	715		
	IP	4,819,234	4/4/89	Huber	717		
	IQ	5,590,331	12/31/96	Lewis et al.	717		
	IR	5,774,727	6/30/98	Walsh et al.	717		
	IS	5,872,978	2/16/99	Hoskins	717		

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	IT	5,950,012	9/7/99	Shiell et al.	717		
	IU	6,118,940	9/12/00	Alexander, III et al.	717		
	IV	6,405,364	6/11/02	Bowman-Amuah	717		
	IW	6,606,744	8/12/03	Mikurak	717		
	IX	6,397,242	5/28/02	Devine et al.	718		
	IY	2002/0032717	3/14/02	Malan et al.	718		
	IZ	6,477,585	11/5/02	Cohen et al.	719		
	JA	6,721,806	4/13/04	Boyd et al.	719		

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						YES	NO.
	JB	WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.		
	JC	WO 02/006928	01/24/02	PCT	vCIS, Inc.		
	JD	WO 00/054458	09/14/00	PCT	Psionic Software, Inc.		
	JE	WO 02/056152	07/18/02	PCT	Psionic Software		
	JF	0 985 995	08/13/03	EP	International Business Machines Corp.		
	JG	WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.		
	JH	WO 00/02115	01/13/00	PCT	PRC Inc.		
	JI	WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson		
	JJ	WO 99/50734	10/07/99	PCT	AT&T Corporation		
	JK	WO 98/41919	09/24/98	PCT	Trend Micro, Inc.		
	JL	WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.		
	JM	0 636 977	05/23/01	EP	Chambers		
	JN	WO 99/15966	04/01/99	PCT	Symantec Corporation		

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JP	Newman, David, Intrusion Detection Systems, Data Communications, 1998, pp. 1-9
JQ	International Search Report for PCT/US02/17161 of December 31, 2002
JR	Hyland, et al., Concentric Supervision of Security Applications: A New Security Management Paradigm Computer Security Applications Conference, 1998, pp. 59-68

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	JS	Koillpillai et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pp. 199-206					
	JT	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101					
	JU	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217					
	JV	International Search Report for PCT/US01/13769 of March 8, 2002					
	JW	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66					
	JX	Koillpillai, Adaptive Network Security Management, DARPA NGI PI Conference, October 1998, pp. 1-27					
	JY	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14					
	JZ	International Search Report for PCT/US02/04989 of September 19, 2002					
	KA	International Search Report for PCT/US02/02917 of August 8, 2002					
	KB	International Search Report for PCT/US03/00155 of May 15, 2003					
	KC	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12					
	KD	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112					
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KF	Mayer et al., The Design of the Trusted Workstation: A True Infosec Product, 13 th National Computer Security Conference, Washing, DC, October 1-4, 1990, pp. 827-839
KG	Dawson, Intrusion Protection for Networks, Byte, April 1995, pp. 171-172
KH	Buhkan, Checkpoint Charlie, PC Week Network, November 27, 1995, pp. N1, N6-N7
KI	Process Software Technical Support Page, found on http://www.process.com/techsupport/whitesec.html , printed off of the Process Software website on February 26, 2003, pp. 1-5
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KK	Lee, Trusted Systems, Chapter II-1-6 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 345-362
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KM	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solution, IEEE, March 1996, pp. 603-610
KN	Garg et al., High Level Communication Primitives for Concurrent Systems, IEEE, 1988, pp. 92-99
KO	Hastings et al., TCP/IP Spoofing Fundamentals, IEEE, May 1996, pp. 218-224
KP	Snapp, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, Master Thesis, University of California, Davis, California, 1991, pp. 1-40
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KS	Kim et al., Implementing a Secure Login Environment: A Case Study of Using a Secure Network Layer Protocol, Department of Computer Science, University of Alabama, June 1995, pp. 1-9
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KX	Safford et al., "The TAMU Security Package: An Ongoing Response to Internet Intruders in an Academic Environment," USENIX Symposium Proceedings, UNIX Security IV, October 4-6, 1993, Santa Clara, California, pp. 91-118.
KY	Sugawara, Toshiharu, "A Cooperative LAN Diagnostic and Observation Expert System," Ninth Annual Phoenix Conference on Computers and Communications, 1990 Conference Proceedings, March 21-23, 1990, Scottsdale, Arizona, pp. 667-674.
KZ	Casella, Karen A., "Security Administration in an Open Networking Environment," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 67-73.
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	LD	Varadharajan, Vijay, "Design and Management of a Secure Networked Administration System: A Practical Approach," 19 th National Information Systems Security Conference, October 22-25, 1996, Baltimore, Maryland, pp. 570-580.					
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	LF	Broner et al., "Intelligent I/O Rule-Based Input/Output Processing for Operating Systems," Operating Systems Review, Volume 25, Number 3, July 1991, pp. 10-26.					
	LG	Drews et al., "Special Delivery - Automatic Software Distribution Can Make You A Hero," Network Computing, August 1, 1994, pp. 80, 82-86, 89, 91-95.					
	LH	Morrissey, Peter, "Walls," Network Computing, February 15, 1996, pp. 55-59, 65-67.					
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	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
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OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	UY	Internet Scanner SAFE SAFEsuite 4.0 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-158
	UZ	Internet Scanner 3.3 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-119
	VA	Landwehr et al., Newsletter of the IEEE Computer Society's TC on Security and Privacy Electronics, Electronic CIPHER, November 25, 1997, Issue 25, pp. 1-34
	VB	20 th National Information Systems Security Conference, October 6-10, 1997, Baltimore, Maryland, pp. 1-44
	VC	EMERALD Alert Management Interface User's Guide Version 1.2, December 6, 2000, SRI International, pp. 1-11
	VD	Anderson et al., Detecting Unusual Program Behavior Using the Statistical Component of the Next-Generation Intrusion Detection Expert System (NIDES), May 1995, SRI International, pp. 1-89
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	VF	Network ICE Products - ICEcap, Date Unknown, pp. 1-2
	VG	Forlanda, The Secrets to Driving on BlackICE, January 12, 2000, Network ICE, pp. 1-35
	VH	BlackICE User's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-59
	VI	ICEcap Administrator's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-25
	VJ	Shulak et al., ICEcap Advanced Administration Guide Version 3.0, 2001, Internet Security Systems Corporation, pp. 1-51

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05456.105006	09/844,447	April 27, 2001
APPLICANT		GROUP
Timothy Farley et al.		2132

BOX 3 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

VK	"Real Secure, OS Sensor User Guide," Version 5.0, ©Internet Security Systems, Inc. 199?-2000; September 2000, pgs. 1-64.
VL	"Real Secure, User's Guide," Version 3.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-128.
VM	"System Security Scanner, User Guide," Version 1.6, © 1996-1998, Internet Security Systems, Inc., pgs. 1-164.
VN	"Real Secure™, Network Engine User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38.
VO	"Real Secure™, User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38
VP	"Real Secure™, Manager for HP OpenView User Guide," Version 1.3, © 1999 by Internet Security Systems, Inc., pgs. 1-48.
VQ	"Database Scanner, User Guide," Version 2.0, © 1992-1999, Internet Security Systems, Inc., pgs. 1-112.
VR	"Database Scanner™, User Guide," Version 4.0, © 2000 by Internet Security Systems, Inc., pgs. 1-122.
VS	"Database Scanner™, User Guide," Version 3.0.1, , © 1999 by Internet Security Systems, Inc., pgs. 1-164.
VT	"Real Secure™, Network Sensor User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-42.
VU	"Real Secure, Server Sensor User Guide," Version 5.5, © Internet Security Systems, Inc. 2000, pgs. 1-56.
VV	"Internet Scanner™, User Guide," Version 6.0, Copyright © 1999 by Internet Security Systems, Inc., pgs. 1-182.
VW	"Internet Scanner™, User Guide," Version 6.1, © 2000 by Internet Security Systems, Inc., pgs. 1-226.
VX	"Internet Scanner™, User Guide," Version 5.6, © 1992-1998, Internet Security Systems, Inc., pgs. 1-162.

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OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

VY	"Internet Scanner™, User Guide," Version 5.3, © 1992-1998, Internet Security Systems, Inc. pgs. 1-173.
VZ	"Real Secure, Console User Guide," Version 5.5, © 1997-2000, Internet Security Systems, Inc., pgs. 1-162.
WA	"Internet Scanner™, User Guide," Version 5.8, © 1999 by Internet Security Systems, Inc., pgs. 1-148.
WB	"SAFEsuite Decisions, User Guide," Version 1.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-88.
WC	"Real Secure™, Console User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-114.
WD	"SAFEsuite DECISIONS, User Guide," Version 2.5, © 2000 by Internet Security Systems, Inc., pgs. 1-194.
WE	"System Scanner, User Guide," Version 1.7, © 1992-1998, Internet Security Systems, Inc., pgs. 1-248.
WF	"Sytem Scanner, User Guide," Version 1.0, © 1996-1998, Internet Security Systems, Inc., pgs. 1-140.
WG	"System Scanner™, User Guide," Version 4.0, © 1999 by Internet Security Systems, Inc., pgs. 1-178.
WH	Internet Security Systems, Inc., "Introduction to RealSecure Version 5.0, The Industry's Only Integrated Host-Based and Network-Based Intrusion Detection System", August 22, 2000, pp. 1-47
WI	Internet Security Systems, Inc., "RealSecure Network Sensor and Gigabit Network Sensor Policy Guide Version 7.0", August 2003, pp. 1-86
WJ	Internet Security Systems, Inc., "RealSecure Console User Guide Version 3.1", June 1999, pp. 1-98
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WM	Internet Security Systems, Inc., "RealSecure Site Protector Comparison Guide for Internet Scanner 7.0 Reporting Version 1.2", March 2003, an ISS Tech Note, pp. 1-15
WN	Internet Security System, Inc., "RealSecure Site Protector Comparison Guide for ICEcap Manager Version 1.5", January 2002, an ISS Technical White Paper, pp. 1-27
WO	Internet Security Systems, Inc., "RealSecure SiteProtector Security Fusion Module 2.0 Frequently Asked Questions", March 2003, pp. 1-8
WP	Internet Security Systems, Inc., "RealSecure SiteProtector Console User Reference Guide Version 2.0 Service Pack 1", March 14, 2003, Chapter 3, pp. 1-27
WQ	Internet Security Systems, Inc., "Proventia Gateway and Network Protection", July 2003, pp. 1-12
WR	Farley, Internet Security System, Inc., "ID Fusion Technology, A First-Generation Approach", April 29, 1999, National Security Framework Forum, pp. 1-8
WS	Farley, "RealSecure Fusion Engine Concepts", an ISS White Paper, June 30, 1999, pp. 1-23

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